



ICA International Diploma in Compliance (Hong Kong)

A professional qualification awarded in association with the University of Manchester Business School, for financial services practitioners



International Compliance Association
Excellence through Education

Develop your knowledge, secure your firm

An Introduction to the ICA International Diploma in Compliance

Compliance in financial services has never been more important. The constantly evolving environment has determined the crucial role compliance plays in driving business and risk protection. Firms are looking for trained individuals who understand rules and regulations, and who can make the right decisions. The need for qualified, knowledgeable staff has never been greater.

The ICA International Diploma in Compliance can help you stand out from the crowd

The ICA International Diploma in Compliance is a certificated, professional education programme, awarded in association with the prestigious University of Manchester Business School. It is an internationally recognised benchmark qualification, demonstrating excellence. Set within an academic framework, this vocational qualification has been created to meet the needs of compliance and legal professionals today.

By drawing upon international best practice, the Diploma provides detailed knowledge and practical skills. It will enhance your expertise and enable you to fulfill your responsibilities with greater confidence. Those who have completed the Diploma say their workplace performance improves and opportunities for career progression are enhanced.

The programme is delivered via an integrated learning approach combining comprehensive course materials, face-to-face tuition, interactive workshops and extensive website information and research facilities. Course tutors are also on hand to offer full support throughout the programme and there are plenty of opportunities to meet with fellow delegates to share learning experiences. This tried and tested learning approach ensures you are able to:

- Apply knowledge to your day-to-day role immediately
- Study for a qualification with minimal time away from the office
- Enhance your business profile with a internationally recognised professional qualification
- Increase network opportunities through studying with your industry peers
- Minimise financial and reputational risk to your firm

Free Information Session:

22 January 2009
18.30-20.30

LexisNexis Conference Centre
39/F, Hopewell Centre,
183 Queen's Road East,
Wan Chai

Hear more about the content of the programme, how it will benefit you and how to study.

Diploma Enrolment Closing Date:

20 February 2009

Key Features

- A graduate level programme of 10 months duration
- Awarded in association with the University of Manchester Business School
- Eight face-to-face workshops
- Completion of three assignments and one open-book exam
- Comprehensive course materials that can be used in the workplace
- Written and delivered by experienced industry practitioners
- Extensive support and resource material
- Course fee HK\$31,900

Benefits to Individual

- Provides an international benchmark of professional competence
- Increased knowledge, developed skills
- Enhanced workplace performance
- Career progression
- Professional membership of the International Compliance Association

Benefits to Organisation

- Reduced risk
- Increased knowledge throughout workplace
- Developed skills within team
- Enhanced reputation

Who the Diploma Will Benefit

- Compliance Officers and Managers
- Risk Managers
- Internal and External Audit staff
- Regulatory representatives
- Accountants, Lawyers, Company Secretaries

Admission Requirements

- Sound educational background, e.g. degree or professional qualification in an appropriate discipline **or**,
- Three years relevant work experience
- Ability to complete the reading and written aspect of the course in English

Register for Free Information Session

To register for the free information session on **22 January 2009** where you can find out more about this programme and discuss your options with ICA representatives please complete the form below:

Name:

Job title:

Company:

Address:

Email:

Tel:

Fax:

Please fax back on (852) 2815-9430 or email to conferences.enquiries@lexisnexis.com

"The course was hard work but I can honestly say that I thoroughly enjoyed it and the resulting accreditation qualification has provided me with the confidence to perform my current duties together with a valuable tool for possible future progressions within the industry. I would highly recommend the course to anyone who wishes to improve their knowledge and reputation within the industry."

Michael Reeve, Compliance Officer,
Landesbank Hessen-Thuringen GZ

Diploma Programme Summary

The ICA International Diploma in Compliance is comprehensive and reflects the regulatory environment today. The programme focuses on relevant international best practice, legislation and regulations. It examines the role of key players and the importance of developing networks. With course materials that are regularly updated, the Diploma focuses on current major issues that impact upon the compliance role.

By the end of the Diploma, you will have a clear understanding of the compliance function and the surrounding context. Knowledge gained can be used to measure practices and tailor them to meet future challenges. A wider awareness of the international arena is given in readiness for future developments.

A summary of the core modules follows. For more detailed information on what each module contains, please visit the International Compliance Association website at www.int-comp.org and download the syllabus, or contact us for one to be sent to you.

What you will learn

Module 1: The Regulatory Environment

- Understanding the Regulatory Environment
- Regulatory Structure

Module 2: The Compliance Function

- The Role and Skills of the Compliance Officer
- Risk Management
- Designing an Internal Compliance System

Module 3: Key Compliance Issues

- Money Laundering and Terrorist Financing
- Market Fraud, Insider Dealing and Fraud Prevention
- Investigations, Enforcement and Production Orders

Module 4: The Compliance Environment

- Corporate Governance
- Ethics and Corporate Responsibility

For full details and an application form,
please email conferences.enquiries@lexisnexis.com or
telephone Cheryl Leung on (852) 2965 1425 or
visit www.int-comp.org